

If you have any questions about completing this Application, please call Neuberger Berman Shareholder Services at 800.877.9700, Monday-Friday, from 9AM to 5PM Eastern Time.

Use this Form only when transferring assets from an existing IRA/ROTH IRA to a Neuberger Berman BD LLC IRA/ROTH IRA. If you do not already have a Neuberger Berman IRA, an IRA Application is also required. Neuberger Berman BD LLC will arrange the transfer for you. Please enclose a copy of your latest statement with this Transfer of Assets Form.

Do not use this Form if you are transferring assets directly from a Qualified Retirement Plan or 403(b) Plan. Please call us at **800.877.9700** to request a Direct Rollover Form.

Please return this Form to:

Regular Mail
Neuberger Berman Funds
PO Box 219189
Kansas City, MO 64121-9189

Overnight Mail
Neuberger Berman Funds
430 West 7th Street, Suite 219189
Kansas City, MO 64105-1407

Email
nbfundsCS@sscinc.com

1 ACCOUNT INFORMATION Please Type or Print Clearly in Ink:

Owner's First Name	Middle	Last
Address		Apt. Number
City	State	Zip Code
Social Security Number		Daytime Telephone Number
Cell/Evening Telephone Number		E-mail

2 PRESENT CUSTODIAN OR TRUSTEE INFORMATION

Present Custodian or Trustee		
Address		
City	State	Zip Code
Name of Mutual Fund or CD Maturity Date, if applicable		
Account Number(s)	Contact Name	Telephone Number

3 INSTRUCTIONS TO PRESENT CUSTODIAN OR TRUSTEE

Please liquidate and transfer to UMB Bank, n.a. as successor Custodian:

- All assets in my account (or) \$ (Partial Transfer)
 In Kind Liquidate

This transfer should be made:

- Immediately Upon maturity of the current investment.

The maturity date is .

Make check payable to:

**Neuberger Berman Funds
c/o UMB Bank, n.a. Custodian, FBO**

IRA Owner's Name

4 NEUBERGER BERMAN BD LLC FUND ACCOUNT INFORMATION

I have established a Neuberger Berman BD LLC Account:

- IRA SEP-IRA INHERITED IRA OR INHERITED ROTH IRA
 ROTH IRA ROTH CONVERSION IRA SIMPLE IRA

Account Number (if available)

Please invest my transfer of assets as follows:

Please read the prospectus for information on funds that are closed to investors. Please read the prospectus for information on funds that are subject to a redemption fee on the sale or exchange of shares.

Fund	<input type="text"/>	\$	<input type="text"/>	or	<input type="text"/>	%
Fund	<input type="text"/>	\$	<input type="text"/>	or	<input type="text"/>	%
Fund	<input type="text"/>	\$	<input type="text"/>	or	<input type="text"/>	%
Total		\$	<input type="text"/>			100%

5 SIGNATURE

Please accept this as your authorization and instruction to liquidate the assets noted in Sections 2 and 3. I am aware of and acknowledge any early withdrawal penalties that may apply.

Signature	Date
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STAMP 2000/MEDALLION SIGNATURE GUARANTEE: (if necessary)
NOTE: To complete this transfer, the present Custodian or Trustee of your IRA/ROTH IRA **may** require a signature guarantee. Please contact your existing Custodian or Trustee for their requirements.

6 ACCEPTANCE (For UMB Bank, n.a. Use Only)

UMB Bank, n.a. accepts its appointment as Custodian of the above-referenced account and requests the transfer of assets as indicated above.

Authorized Signature	Date
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Instructions for delivery:

Mail check to:

Neuberger Berman Funds
PO Box 219189
Kansas City, MO 64121-9189

***Send overnight check to:**

Neuberger Berman Funds
430 West 7th Street, Suite 219189
Kansas City, MO 64105-1407

***Wire funds to:**

State Street Bank/Boston
ABA #011-000028
Attention: NB Deposit Account
DDA: 9904-199-8
Name of Fund(s) in CAPS
IRA Owner's Name
IRA Account Number

*Fee Might be Charged from Delivering Custodian