2023 Firm Stakeholder Metrics

| CLIENT PORTFOLIO METRICS | 2019 | 2020 | 2021 | 2022 | 2023 |
|--|------------|------------|-----------|-----------|-----------|
| Teams with access to environmental, social and governance (ESG) research | 100% | 100% | 100% | 100% | 100% |
| Shareholder meetings voted (#/%) | 4,738/100% | 4,774/100% | 4,645/99% | 4,900/98% | 5,247/99% |
| Total number of engagement meetings with corporate management teams | | | | | |
| Number of equity engagements held | 1,173 | 2,213 | 3,162 | 3,439 | 3,256 |
| Number of credit engagements held | 901 | 1,453 | 1,463 | 1,254 | 1,402 |
| % AUM engaged (public equity) | N/A | 71% | 78% | 85% | 86.39% |
| Percentage of UCITS and mutual funds with 3+ Globes on Morningstar Sustainability Ratings | 73% | 68% | 76% | 83% | 79% |
| Number of adverse final judgments in legal proceedings relating to marketing communications of investment products | 0 | 0 | 0 | 0 | C |
| COMMUNITY METRICS | 2019 | 2020 | 2021 | 2022 | 2023 |
| Corporate charitable giving (foundation, gift matching, disaster relief) | \$2.9m | \$2.8m | \$2.4m | \$3m | \$3.1m |
| Firm-sponsored volunteerism | | | | | |
| Employee volunteer hours | 5,759 | 323 | 750 | 4,000 | 5,142 |
| Employee volunteer participation (#) (not unique) | 1,833 | 147 | 300 | 1,324 | 1,858 |
| Unique volunteer participation | 58% | 6% | 13% | 40% | 47% |
| Firm and regional headquarter locations participating in volunteerism | 100% | 100% | 75% | 75% | 100% |
| Number of projects | 147 | 26 | 35 | 112 | 143 |
| Beneficiaries | | | | | |
| Organizations reached through giving | 614 | 780 | 575 | 744 | 809 |
| Organizations reached through volunteerism | 115 | 21 | 30 | 97 | 110 |
| Number of children/youth/students impacted through giving and volunteerism | 1,176,025 | 563,499 | 675,000 | 985,000 | 985,000 |

| Total U.S. employees1,6901,7321,7771,9302015Employees with 15% 401K firm contribution (no required match or vesting)99%99%99%98%98%98%Staff diversity (ethnic minority %)31%31%31%34%34%35%Senior staff (VP+)22%21%24%26%26%Ethnic minority firing (% of new hires, 3-year average)20192020202120222023GlobalEnvironmental metrics ² 201920008895596.68923,326GH6 emissions form business travel (metric tons CO2e)5,0008895596.68923,326GH6 emissions offset from estimated global fravel100%100%100%100%100%NY headquarters51%er <th>EMPLOYEE METRICS</th> <th>2019</th> <th>2020</th> <th>2021</th> <th>2022</th> <th>2023</th> | EMPLOYEE METRICS | 2019 | 2020 | 2021 | 2022 | 2023 |
|---|--|-----------|-----------|-----------|-----------|-----------|
| Iotal amployees, part-time4.34.03.233.28Senior investment professional retention rate (MDSVP)95%9.7%9.9%9.7%9.7%Employees, with facess to benefits (full-time)100%100%100%100%100%100%Employees, with facess to benefits (full-time)-500-74%9.757-74%6.500-74%6.707-74%6.7 | Global | | | | | |
| entropy 95% 97% 95% 97% 97% Employees with access to benefits (ult-line) 100% <td>Total employees, full-time</td> <td>2,178</td> <td>2,305</td> <td>2,411</td> <td>2,657</td> <td>2798</td> | Total employees, full-time | 2,178 | 2,305 | 2,411 | 2,657 | 2798 |
| Imployees with access to benefits (full-time) 100% 100% 100% 100% 100% Percentage of firm ownership (fi/%) -500-24% -550-23% -57524% -65024% Partolia Managers whose compensation is tied to multi-year performance 100% 100% 100% 100% Employees with access to skill-based training 100% 100% 100% 100% 100% Employees with access to scill-based training 100% 100% 100% 100% 100% Employees with access to reducational apostninities 100% 100% 100% 100% 100% Staff diversity (women %) 28% 28% 29% 31% 34% Staff diversity (women, three-year average) 20% 20% 20% 2015 Employees with 15% 401K firm contribution (no required match or vesting) 99% 98% 98% 98% Staff diversity (ethnic minority %) 101% 31% 34% 35% Staff diversity (ethnic minority %) 20% 20% 20% 20% 20% 20% 20% | Total employees, part-time | 43 | 40 | 32 | 35 | 28 |
| Precentage of firm owned by employees'100%100%100%100%100%100%Employees with firm ownership (4%)-500-24%-550-23%-550-23%-575-24%-650-24%-670-24%Portfollo Managers whose compensation is tied to multi-year performance100%100%100%100%100%100%Employees with access to skills-based training100%100%100%100%100%100%Employees with access to promotion opportunities100%100%100%100%100%Staff diversity (women %)28%23%33%39%Senior staff (VP+)28%28%29%31%31%New hires (% women, three-year average)29%99%98%98%98%Staff diversity (ethnic minority %)99%91%10%100%100%Employees with 15% 401K firm contribution (no required match or vesting)99%31%31%34%35%Staff diversity (ethnic minority %)31%31%34%34%35%Endingerse using public transportation89%10%100%100%100%Endingerse using public transportation89%10%33%75%2232Global100%100%100%100%100%100%Endingerse using public transportation89%10%33%75%23326Global100%100%100%100%100%100%100%Endingerse using public transportation89 | Senior investment professional retention rate (MD/SVP) | 95% | 97% | 98% | 97% | 97% |
| Employees with firm ownedship (fr%) -50024% -55024% -67024% -67024% Portfolio Managers whose compensation is tied to multi-year performance 100% 100% 100% 100% 100% Employees with access to skills-based training 100% 100% 100% 100% 100% 100% Employees with access to aducational assistance 100% 100% 37% 38% 39% 39% Senior staff (VP+) 28% 28% 28% 29% 31% 31% New hires (% wornen, three-year average) 40% 40% 42% 43% 44% US Tatal US. amployees 1,732 1,777 1,930 2015 Employees with 5% don't finite ontribution (no required match or vesting) 99% 98% 98% 25% Senior staff (VP+) 22% 21% 24% 25% 25% Staff diversity (ethnic minority %) 31% 31% 31% 34% 35% Senior staff (VP+) 22% 21% 20% 26% 26% | Employees with access to benefits (full-time) | 100% | 100% | 100% | 100% | 100% |
| Partial Managers whose compensation is tied to multi-year performance 100% <th1< td=""><td>Percentage of firm owned by employees¹</td><td>100%</td><td>100%</td><td>100%</td><td>100%</td><td>100%</td></th1<> | Percentage of firm owned by employees ¹ | 100% | 100% | 100% | 100% | 100% |
| Employees with access to skills-based training 100% 100% 100% 100% 100% Employees with access to promotion opportunities 100% 100% 100% 100% 100% Staff diversity (women %) 100% 100% 100% 100% 30% 39% 39% 39% 39% 39% 39% 39% 39% 39% 39% 39% 31% Now Now Now 28% 28% 29% 31% 34% 44% Mo 44% Mo 44% Mo 44% Mo 44% Mo 56 2015 500 1,777 1,930 2015 500 2019 200 201 202 2025 26% | Employees with firm ownership (#/%) | ~500/~24% | ~550/~23% | ~575/~24% | ~650/~24% | ~670/~24% |
| Dipolese with access to promotion opportunities 100% 100% 100% 100% 100% Employees with access to educational assistance 100% 100% 100% 100% 100% Staff diversity (women %) 37% 37% 38% 39% 39% Senior staff (VP+) 28% 28% 29% 31% 31% New hires (% women, three-year average) 40% 40% 43% 44% US. Total US. employees 1,690 1,732 1,777 1,930 2015 Employees with 15% 401K firm contribution (no required match or vesting) 99% 99% 99% 98% 98% 98% Senior staff (VP+) 22% 21% 24% 26% 26% Senior staff (VP+) 22% 21% 24% 26% 26% Centro entribution (no required match or vesting) 38% 39% 45% 45% Ethin cinnity fring (% of new hires, 3-year average) 38% 31% 34% 34% 35% Global Ethin cinnity | Portfolio Managers whose compensation is tied to multi-year performance | 100% | 100% | 100% | 100% | 100% |
| Employees with access to educational assistance100%100%100%100%100%Staff diversity (women %)37%37%38%39%39%Senior staff (VP+)28%28%29%21%31%31%New hires (% women, three-year average)40%40%42%43%44%U.S.51,6901,7321,7771,9302015Employees with 15% 401K firm contribution (no required match or vesting)39%39%38%38%38%Staff diversity (ethnic minority %)31%31%34%35%35%Staff (VP+)22%21%24%26%26%Ethnic minority hiring (% of new hires, 3 year average)38%39%45%45%Coloal50008895596.68923,326Global100%100%100%100%100%100%Employees using public transportation89%55%6.5%27%Global100%100%100%100%100%100%Employees using public transportation89%55%6.68923,326Global100%100%100%100%100%100%Employees using public transportation89%55%6.68923,326Global100%100%100%100%100%100%Eucle catifications58%57%63%6.1%51%erSquare footage as precentage fotat global office space58%57% </td <td>Employees with access to skills-based training</td> <td>100%</td> <td>100%</td> <td>100%</td> <td>100%</td> <td>100%</td> | Employees with access to skills-based training | 100% | 100% | 100% | 100% | 100% |
| Suff diversity (women %) 37% 37% 38% 39% 39% Senior staff (VP+) 28% 28% 29% 31% 31% New hires (% women, three-year average) 40% 40% 42% 43% 44% US. Total US. employees 1,690 1,732 1,777 1,930 2015 Employees with 15% 401K firm contribution (no required match or vesting) 99% 99% 98% 98% 98% Staff diversity (ethnic minority %) Total staff 31% 31% 34% 35% Senior staff (VP+) 22% 21% 24% 26% 26% Ethnic minority for new hires, 3 year average) 38% 35% 45% 45% Ethnic minority hiring (% of new hires, 3 year average) 201 2020 2021 2022 2023 Global Ethnic form business travel (metric tons CO,e) 5,000 889 559 6,6,689 23,2,326 GHG emissions form business travel (metric tons CO,e) 5,000 889 559 6,6,689 23,2,326 | Employees with access to promotion opportunities | 100% | 100% | 100% | 100% | 100% |
| Total staff37%37%37%38%39%39%Senior staff (VP+)28%28%29%31%31%New hires (% women, three-year average)40%40%40%42%43%44%U.S.Total U.S. employees1,6901,7321,7771,9302015Employees with 15% 401K firm contribution (no required match or vesting)99%99%98%98%98%Staff diversity (ethnic minority %)Total staff31%31%34%34%35%Senior staff (VP+)22%21%26%26%26%Ethnic minority hing (% of new hires, 3-year average)38%39%45%45%Cerve2019202020212023GlobalEnvironmentation Go ₂ e)5,0008895596,6823,226GHG emissions form business travel (metric tons CO ₂ e)5,00088955%53%61%23,226GHG emissions offset from estimated global office space58%51%r51%r51%r51%r51%r51%rTotal energy used (gigajoules)43,00338,36238,31539,57837,21751%r <td>Employees with access to educational assistance</td> <td>100%</td> <td>100%</td> <td>100%</td> <td>100%</td> <td>100%</td> | Employees with access to educational assistance | 100% | 100% | 100% | 100% | 100% |
| Senior staff (VP+) 28% 28% 29% 31% 31% New hires (% women, three-year average) 40% 40% 42% 43% 44% U.S. Total U.S. employees 1,690 1,732 1,777 1,930 2015 Employees with 15% 401K firm contribution (no required match or vesting) 99% 99% 98% 98% 98% Staff diversity (ethnic minority %) 31% 31% 31% 34% 35% Senior staff (VP+) 22% 21% 24% 26% 26% Ethnic minority hiring (% of new hires, 3-year average) 38% 39% 45% 45% Ethnic minority hiring (% of new hires, 3-year average) 38% 39% 45% 45% Ethnic minority hiring (% of new hires, 3-year average) 5,000 889 559 6,689 23,326 Global Ethnic minority form estimated global travel 100% 100% 100% 100% 100% VH eadquarters Super footage as percentage of total global office space 58% 57% 63% <t< td=""><td>Staff diversity (women %)</td><td></td><td></td><td></td><td></td><td></td></t<> | Staff diversity (women %) | | | | | |
| New hires (% women, three-year average)40%40%42%43%44%U.S.Total U.S. employees1,6901,7321,7771,9302015Employees with 15% 401K firm contribution (no required match or vesting)99%99%98%98%Staff diversity (ethnic minority %)31%31%34%35%Total staff31%31%34%35%26%Ethnic minority hining (% of new hires, 3-year average)38%39%45%45%ENVIRONMENTAL METRICS ² 20192020202120222023Global5,0008895596,68923,326GHG emissions from business travel (metric tons CO,e)5,0008895596,68923,326GHG emissions offset from estimated global travel100%100%100%100%100%N'HeadquartersSilverSilverSilverSilverSilverSilverSquare footage as percentage of total global office space58%57%63%61%62%LEED certificationsSilverSilverSilverSilverSilverSilverTotal energy used (gigajoules)13,84219,55219,02019,47819,221Steam used (gigajoules)21,09218,81019,25620,10117,996GHG emissions from energy used (metric tons CO,e)2,0082,3692,3472,4182,745Total energy used (milion gallons)646.55.97,37,77 <td>Total staff</td> <td>37%</td> <td>37%</td> <td>38%</td> <td>39%</td> <td>39%</td> | Total staff | 37% | 37% | 38% | 39% | 39% |
| U.S. 1,690 1,732 1,777 1,930 2015 Employees with 15% 401K firm contribution (no required match or vesting) 99% 99% 99% 98% 98% 98% Staff diversity (ethnic minority %) 1 31% 31% 34% 35% Senior staff (VP+) 22% 21% 24% 26% 26% Ethnic notify hiring (% of new hires, 3-year average) 38% 39% 45% 45% EnvirRONMENTAL METRICS ² 2019 2020 2021 2022 2023 Global 2019 2020 2021 2022 203 Global 100% | Senior staff (VP+) | 28% | 28% | 29% | 31% | 31% |
| Total U.S. employees1,6901,7321,7771,9302015Employees with 15% 401K firm contribution (no required match or vesting)99%99%99%98%98%98%Staff diversity (ethnic minority %)31%31%34%34%35%Total staff31%31%24%26%26%Ethnic minority firing (% of new hires, 3-year average)20192020202120222023Colspan="3">Colspan="3">Colspan="3">Colspan="3">Colspan="3">Colspan="3">Colspan="3">Colspan="3">Colspan="3">Colspan="3">Colspan="3"GlobalEmployees using public transportation89%10%33%75%79%GHG emissions form business travel (metric tons CO ₂ e)5,0008895596,68923,326GHG emissions offset from estimated global travel100%100%100%100%100%N' headquartersSilverSilverSilverSilverSilverSilverSquare footage as percentage of total global office space58%57%63%61%62%LEED certificationsSilverSilverSilverSilverSilverSilverTotal energy used (gigajoules)13,84219,52219,02019,47819,221Stear used (gigajoules)21,09218,81019,29620,10117,996GHG emissions from energy used (metric tons CO ₂ e)2,0082,3662,3472,4182,745Total energy used (gigajoules)21,99218,810 </td <td>New hires (% women, three-year average)</td> <td>40%</td> <td>40%</td> <td>42%</td> <td>43%</td> <td>44%</td> | New hires (% women, three-year average) | 40% | 40% | 42% | 43% | 44% |
| Link of the second se | U.S. | | | | | |
| Staff diversity (ethnic minority %) 31% 31% 31% 34% 35% Total staff 31% 31% 34% 34% 25% Senior staff (VP+) 22% 21% 24% 26% 26% Ethnic minority hiring (% of new hires, 3-year average) 38% 39% 45% 45% 45% EtNIRONMENTAL METRICS ² 2019 2020 2021 2022 2023 Global | Total U.S. employees | 1,690 | 1,732 | 1,777 | 1,930 | 2015 |
| Total staff31%31%34%34%35%Senior staff (VP+)22%21%24%26%26%Ethnic minority hiring (% of new hires, 3-year average)38%39%45%45%45%ENVIRONMENTAL METRICS*20192020202120222023Global33%75%79%GHG emissions from business travel (metric tons CO,e)5,0008895596,68923,326GHG emissions offset from estimated global travel100%100%100%100%100%NY headquarters51/verSilver | Employees with 15% 401K firm contribution (no required match or vesting) | 99% | 99% | 98% | 98% | 98% |
| Senior staff (VP+)22%21%24%26%26%Ethnic minority hiring (% of new hires, 3-year average)38%39%45%45%ENVIRONMENTAL METRICS220192020202120222023GlobalEmployees using public transportation89%10%33%75%79%GHG emissions from business travel (metric tons CO,e)5,0008895596,68923,326GHG emissions offset from estimated global travel100%100%100%100%100%NY headquarters51%r51%r51%r51%r51%r51%rSquare footage as percentage of total global office space58%57%63%61%62%LEED certifications51%r51%r51%r51%r51%r51%rTotal energy used (gigajoules)13,84219,55219,02019,47819,221Steam used (gigajoules)21,09218,81019,24321,92221,925GHG emissions from energy used (metric tons CO_e)2,0082,3692,3472,4182,745Total water used (million gallons)6.46.55.97.37.77 | Staff diversity (ethnic minority %) | | | | | |
| Ethnic minority hiring (% of new hires, 3-year average)38%39%45%45%45%ENVIRONMENTAL METRICS220192020202120222023GlobalEmployees using public transportation89%10%33%75%79%GHG emissions from business travel (metric tons CO2e)5,0008895596,68923,326GHG emissions offset from estimated global travel100%100%100%100%100%NY headquarters55%57%63%61%62%Square footage as percentage of total global office space58%57%63%61%62%UEED certificationsSilverSilverSilverSilverSilverSilver19,221Total energy used (gigajoules)13,84219,55219,02019,47819,221Steam used (gigajoules)21,09218,81019,29620,10117,996GHG emissions from energy used (metric tons CO2e)2,0082,3692,3472,4182,745Total water used (million gallons)6.46.55.97.37.7 | Total staff | 31% | 31% | 34% | 34% | 35% |
| ENVIRONMENTAL METRICS ² 2019 2020 2021 2022 2023 Global Employees using public transportation 89% 10% 33% 75% 79% GHG emissions from business travel (metric tons CO ₂ e) 5,000 889 559 6,689 23,326 GHG emissions offset from estimated global travel 100% 100% 100% 100% 100% NY headquarters square footage as percentage of total global office space 58% 57% 63% 61% 62% LEED certifications Silver | Senior staff (VP+) | 22% | 21% | 24% | 26% | 26% |
| Global Employees using public transportation 89% 10% 33% 75% 79% GHG emissions from business travel (metric tons CO2e) 5,000 889 559 6,689 23,326 GHG emissions offset from estimated global travel 100% 100% 100% 100% 100% NY headquarters Square footage as percentage of total global office space 58% 57% 63% 61% 62% LEED certifications Silver | Ethnic minority hiring (% of new hires, 3-year average) | 38% | 39% | 45% | 45% | 45% |
| Employees using public transportation 89% 10% 33% 75% 79% GHG emissions from business travel (metric tons CO2e) 5,000 889 559 6,689 23,326 GHG emissions offset from estimated global travel 100% 100% 100% 100% 100% NY headquarters 5 57% 63% 61% 62% LEED certifications Silver | ENVIRONMENTAL METRICS ² | 2019 | 2020 | 2021 | 2022 | 2023 |
| GHG emissions from business travel (metric tons CO3e)5,0008895596,68923,326GHG emissions offset from estimated global travel100%100%100%100%100%NY headquarters58%57%63%61%62%Square footage as percentage of total global office space58%57%63%61%62%LEED certificationsSilverSilverSilverSilverSilverSilverTotal energy used (gigajoules)43,00338,36238,31539,57837,217Electricity used (gigajoules)13,84219,55219,02019,47819,221Steam used (gigajoules)21,09218,81019,29620,10117,996GHG emissions from energy used (metric tons CO2e)2,0082,3692,3472,4182,745Total water used (million gallons)6.46.55.97.37.7 | Global | | | | | |
| GHG emissions offset from estimated global travel100%100%100%100%100%NY headquartersSquare footage as percentage of total global office space58%57%63%61%62%LEED certificationsSilverSilverSilverSilverSilverSilverTotal energy used (gigajoules)43,00338,36238,31539,57837,217Electricity used (gigajoules)13,84219,55219,02019,47819,221Steam used (gigajoules)21,09218,81019,29620,10117,996GHG emissions from energy used (metric tons CO2e)2,0082,3692,3472,4182,745Total water used (million gallons)6.46.55.97.37.7 | Employees using public transportation | 89% | 10% | 33% | 75% | 79% |
| NY headquarters Square footage as percentage of total global office space 58% 57% 63% 61% 62% LEED certifications Silver Silve | GHG emissions from business travel (metric tons CO_2e) | 5,000 | 889 | 559 | 6,689 | 23,326 |
| Square footage as percentage of total global office space58%57%63%61%62%LEED certificationsSilverSilverSilverSilverSilverSilverSilverTotal energy used (gigajoules)43,00338,36238,31539,57837,217Electricity used (gigajoules)13,84219,55219,02019,47819,221Steam used (gigajoules)21,09218,81019,29620,10117,996GHG emissions from energy used (metric tons CO2e)2,0082,3692,3472,4182,745Total water used (million gallons)6.46.55.97.37.7 | GHG emissions offset from estimated global travel | 100% | 100% | 100% | 100% | 100% |
| LEED certificationsSilverSilverSilverSilverSilverSilverTotal energy used (gigajoules)43,00338,36238,31539,57837,217Electricity used (gigajoules)13,84219,55219,02019,47819,221Steam used (gigajoules)21,09218,81019,29620,10117,996GHG emissions from energy used (metric tons CO2e)2,0082,3692,3472,4182,745Total water used (million gallons)6.46.55.97.37.7 | NY headquarters | | | | | |
| Total energy used (gigajoules) 43,003 38,362 38,315 39,578 37,217 Electricity used (gigajoules) 13,842 19,552 19,020 19,478 19,221 Steam used (gigajoules) 21,092 18,810 19,296 20,101 17,996 GHG emissions from energy used (metric tons CO2e) 2,008 2,369 2,347 2,418 2,745 Total water used (million gallons) 6.4 6.5 5.9 7.3 7.7 | Square footage as percentage of total global office space | 58% | 57% | 63% | 61% | 62% |
| Electricity used (gigajoules) 13,842 19,552 19,020 19,478 19,221 Steam used (gigajoules) 21,092 18,810 19,296 20,101 17,996 GHG emissions from energy used (metric tons CO2e) 2,008 2,369 2,347 2,418 2,745 Total water used (million gallons) 6.4 6.5 5.9 7.3 7.7 | LEED certifications | Silver | Silver | Silver | Silver | Silver |
| Steam used (gigajoules) 21,092 18,810 19,296 20,101 17,996 GHG emissions from energy used (metric tons CO2e) 2,008 2,369 2,347 2,418 2,745 Total water used (million gallons) 6.4 6.5 5.9 7.3 7.7 | Total energy used (gigajoules) | 43,003 | 38,362 | 38,315 | 39,578 | 37,217 |
| GHG emissions from energy used (metric tons CO2e) 2,008 2,369 2,347 2,418 2,745 Total water used (million gallons) 6.4 6.5 5.9 7.3 7.7 | Electricity used (gigajoules) | 13,842 | 19,552 | 19,020 | 19,478 | 19,221 |
| Total water used (million gallons) 6.4 6.5 5.9 7.3 7.7 | Steam used (gigajoules) | 21,092 | 18,810 | 19,296 | 20,101 | 17,996 |
| | GHG emissions from energy used (metric tons CO_2e) | 2,008 | 2,369 | 2,347 | 2,418 | 2,745 |
| Waste recycled (diversion rate) 53% 59% 59% 59% 59% | Total water used (million gallons) | 6.4 | 6.5 | 5.9 | 7.3 | 7.7 |
| | Waste recycled (diversion rate) | 53% | 59% | 59% | 59% | 59% |

¹ Includes the firm's current and former employees, directors and, in certain instances, their permitted transferees.

² Prior to 2020 Neuberger Berman reported on the portion of the building's energy use controlled by the firm. Starting in calendar year 2020 and forward, Neuberger Berman updated its energy reporting to also include our portion of common energy and utility consumption within the building.

Note: Neuberger Berman is not currently publishing information regarding assets under management for strategies that integrate financially material ESG factors into their investment process while it continues to monitor the developing regulatory standards globally.

Note: As an employee-owned private firm, this report is not intended as a communication to investors, however the Sustainability Accounting Standards Board (SASB) standards for Asset Management & Custody Activities have helped inform this report. The SASB disclosure topics below align closely with our stakeholder metrics as noted.

- 1. Transparent Information & Fair Advice for Customers i) Number of adverse final judgments in legal proceedings relating to marketing communications of investment products
- Employee Diversity & Inclusion

 Global staff diversity metrics
 U.S. staff diversity metrics
- Incorporation of Environmental, Social, and Governance ("ESG") Factors in Investment Management & Advisory
 i) Assets managed with consistent and demonstrable ESG integration
 ii) Total number of engagement meetings with corporate management teams including both equity and credit

All information is as of December 31, 2023 unless otherwise indicated. Firm data, including employee and assets under management figures, reflect collective data for the various affiliated investment advisers that are subsidiaries of Neuberger Berman Group LLC (the "firm").

The "Neuberger Berman" name and logo are registered service marks of Neuberger Berman Group LLC.

NEUBERGER BERMAN

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