•	mber 2017)		eport of Organizational Act Affecting Basis of Securit		OMB No. 1545-0123	
Intern	tment of the Treasury al Revenue Service		► See separate instructions.			
	rt I Reporting	Issuer		2 Issuer's employer ident	fication number (FIN)	
		ATIVE FUNDS-NEUBERGER B	ERMAN U.S. EQUITY INDEX PUTWRITE STRATEGY FUND			
			5 Email address of contact			
3 Name of contact for additional information 4 NEUBERGER BERMAN RETAIL SERVICES			4 Telephone No. of contact 800-877-9700	SHAREHOLDERSERVICES@NB.COM		
	· ·		lelivered to street address) of contact	7 City, town, or post office, state, and ZIP code of contact		
	AVENUE OF THE AME	RICAS, 22ND FLOOR		NEW YORK, NY 10104		
8	Date of action		9 Classification and description			
10/3	1/2023		RETURN OF CAPITAL			
10	CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)		
	SEE ATTACHMENT	N/A	SEE ATTACHMENT	N/A		
Ра	rt II Organizat	ional Action Attack	additional statements if needed. See ba	ck of form for additional que	estions.	
15 TUIS	share or as a percer	tage of old basis SE	izational action on the basis of the security in t E ATTACHMENT FOR THE PER SHARE AMOUNT IDE S IN ITS SHARES OF THE FUND. TO THE EXTENT TH	NTIFIED AS RETURN OF CAPITAL.	· · ·	
			UCH EXCESS WILL BE TREATED AS A GAIN FROM TI			
16	Describe the calcula valuation dates ► S	-	sis and the data that supports the calculation,	such as the market values of s	ecurities and the	

Page 2 Part II **Organizational Action** (continued) 17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based > INTERNAL REVENUE CODE ("IRC") SECTION 301(c)(2), IRC SECTION 316(a) AND IRC SECTION 316(b)(4). 18 Can any resulting loss be recognized? ► THE RETURN OF CAPITAL PER SHARE REDUCES A SHAREHOLDER'S TAX BASIS IN FUND SHARES HELD ON THE DATE OF DISTRIBUTION. UPON SALE OR EXCHANGE OF ALL OR A PART OF SUCH SHARES, THE SHAREHOLDER'S RESULTING GAIN OR LOSS WILL BE DETERMINED USING SUCH ADJUSTED TAX BASIS. Provide any other information necessary to implement the adjustment, such as the reportable tax year > 19 THE REPORTABLE YEAR OF THE DISTRIBUTIONS IS 2023. ACTUAL RETURN OF CAPITAL PER SHARE WILL BE REFLECTED ON A SHAREHOLDER'S 2023 FORM 1099 IF SUCH SHAREHOLDER IS A FORM 1099 RECIPIENT. Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. Sign SIGNED COPY MAINTAINED BY THE ISSUER Here Signature ► Date 🕨

	Print	your name 🕨	Title 🕨						
Paid Prepa	rer	Print/Type preparer's name	Preparer's signature	Date	Check if if self-employed	PTIN			
Use (Firm's name 🕨	Firm's EIN ►						
		Firm's address ►				Phone no.			
Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054									

NEUBERGER BERMAN ALTERNATIVE FUNDS-NEUBERGER BERMAN U.S. EQUITY INDEX PUTWRITE STRATEGY FUND 81-3107840

Shares Class CUSIP **Ticker Symbol** Class A NUPAX 64128R582 Class C 64128R574 NUPCX Institutional Class 64128R566 NUPIX Class R6 64128R558 NUPRX **Distribution Per Share** Return of Capital Per Share Pay Date Record Date **Ex-Dividend Date** Shares Class 03/27/2023 03/23/2023 03/24/2023 Class A 0.149000 0.004100 06/26/2023 06/22/2023 06/23/2023 Class A 0.161500 0.004444 03/27/2023 03/23/2023 03/24/2023 Class C 0.126300 0.003476 06/26/2023 06/22/2023 06/23/2023 Class C 0.139700 0.003844 03/27/2023 03/23/2023 Institutional Class 0.004334 03/24/2023 0.157500 06/26/2023 06/22/2023 06/23/2023 Institutional Class 0.170300 0.004687 09/25/2023 09/21/2023 09/22/2023 Institutional Class 0.188700 0.005193 03/27/2023 03/23/2023 03/24/2023 Class R6 0.160100 0.004406 Class R6 06/26/2023 06/22/2023 06/23/2023 0.172800 0.004755 09/25/2023 09/21/2023 09/22/2023 Class R6 0.191300 0.005264

Shareholders of record on pay date listed below will decrease their basis as follows:

Effect on Cost Basis

The adjusted tax basis of the shareholder's shares is decreased by the per share return of capital shown above, multiplied by the number of shares of the Fund the shareholder owns. To the extent the amounts identified as return of capital exceeds shareholder's adjusted tax basis in its Fund shares, such excess would be recognized as capital gain from the sale or exchange property.

Shareholders should consult with their tax advisors for more information.